Edition Date: July 2024

## CERTIFICATION REGARDING THE RELEASE OF CONTROLLED TECHNOLOGY OR TECHNICAL DATA TO FOREIGN PERSONS IN THE UNITED STATES

Prior to filing of any immigration petition or issuance of an immigration document, this certification must be completed by the lead investigator/supervisor and the department administrator. This information is necessary to assure compliance with federal regulations. BY SUBMITTING THIS FORM, THE LEAD INVESTIGATOR / SUPERVISOR AND DEPARTMENT ADMINISTRATOR HEREBY CERTIFY THAT THE INFORMATION CONTAINED HEREIN IS TRUE AND CORRECT, TO THE BEST OF THEIR RESPECTIVE KNOWLEDGE.

## **PLEASE NOTE:**

- The PI/Supervisor should complete this form, not the Applicant;
- The Hiring/Inviting Department must notify ISSS if the funding source or work assignment of the applicant changes at any time following submission of this form to ISSS.

Applicant Information		
First Name		
Family Name/Surname		
Country of Citizenship		
Country of Permanent Residency		
Position or Appointment Title at TU		
Sponsoring Department		
Is this a visiting student or scholar?	☐ Yes ☐ No ☐ Student ☐ Scholar If yes, from which institution is the student/ scholar visiting?	
Lead Investigator / Supervisor		
First Name		
Family Name/Surname		
Position or Appointment Title at TU		
Email		
Phone		
Signature		
Date		
Department Administrator		
First Name		
Family Name/Surname		
Phone #		
Email		
Signature		
Date		

1. Will the applicant be involved in research? (If "Yes," please continue to Question #2. If "No", skip to Question #5)	□ Yes □ No						
What is/are the source(s) of funds supporting the research?     □ Temple □ US Government □ Home Country Government     □ Non-Governmental Grant □ Industry □ Other (describe below)							
3. If Applicant is paid from either a US Government or US Non-Governmental Grant, was Applicant listed on the Grant Application?	☐ Yes ☐ No ☐ N/A						
4. If applicant is paid from a US grant, was the applicant listed as the PI on the Grant application?	☐ Yes ☐ No ☐ N/A						
<ul> <li>Will the applicant's job duties involve projects or collaborations that:</li> <li>a. Restrict participation in the project based on country of origin or one</li> <li>□ Yes □ No</li> <li>b. Allow only US citizens to participate?</li> </ul>	citizenship?						
<ul> <li>☐ Yes ☐ No</li> <li>c. Grant the sponsor the right to review publications in advance of submission (beyond a brief review of up to 90 days for patent protection and/or inadvertent release of confidential/proprietary information)? (If "Yes", provide exact language or copy of provision separately)</li> <li>☐ Yes ☐ No</li> </ul>							
d. Limit publication or dissemination of the research results in any manner? ( <i>If "Yes", provide</i> exact language or copy of provision separately)    Yes  No							
<ul> <li>e. Provide that any part of the sponsoring, granting, or resulting documents not be disclosed?</li> <li>Yes  No</li> <li>f. Limit access to proprietary or export-controlled technology or data – <ul> <li>(i) Provided by a sponsor or research collaborator?</li> </ul> </li> </ul>							
☐ Yes ☐ No (ii) Previously developed by Temple? ☐ Yes ☐ No							
(iii) In Temple's possession?  ☐ Yes ☐ No							
<ul><li>(iv) Produced as part of the project or collaboration?</li><li>☐ Yes ☐ No</li></ul>							
If you answered "Yes" to any part of Question 5 above, please continu Otherwise, skip to Question 7.	e to Question 6.						
<ul><li>6. Regarding the Applicant's Research:</li><li>a. Is the information clearly identified as proprietary or export-controlled?</li><li>b. Can the information be appropriately protected?</li></ul>	□ Yes □ No						
c. Can controlled information be used in isolated tasks so that the applicant can participate in part of the research that is not controlled?	☐ Yes ☐ No						
If you answered "No" for 6a, 6b or 6c, please contact University Counsel							

7.	Will the employee's job duties involve projects or collaborations that:  a. Involve research providing access to source code for encrypted software (other than publicly available software distributed at no charge)?	Yes		No		
b. Involve research, information or software that could be used for military applications?		Yes	□ <b>1</b>	No		
	c. Involve research, information or software that could be used in development of weapons of mass destruction (nuclear, biological, chemical) or their delivery systems?	Yes	□ <b>1</b>	No		
8.	For research funded solely by Temple, does the university intend to withhold the research results for proprietary reasons and not share broadly in the scientific community?	Yes	1	No	N/A	
9.	Will the applicant use any scientific equipment, including highly specialized computing equipment, in the course of performing his/her duties? (if "YES", please provide and attach list)	Yes		No		
10.	Regarding any equipment the applicant will be using, will the applicant have the specific information necessary for the operation, installation, maintenance, repair, overhaul AND refurbishing of any item of the equipment? NOTE- for this question to be checked "yes", it must meet all six elements listed. This is NOT the normal activity of researchers. They are typically operating, maintaining, and maybe repairing a controlled item. The mere operation of a piece of equipment is not "use" as defined in the Export Administration	Yes	1	No		
11.	Regulations.  Will the applicant be exposed to equipment that was specifically	Yes	<u> </u>	No.		
	designed or developed for military or outer space applications?  If "Yes," please describe below:	103				
12.	Provide description of the applicant's duties:					

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14. Provide list of any scientific equipment, including highly specialized computing equipment, to be used by the applicant in the course of performing her/his duties:	13. Provide description of research projects in which the applicant will be involved, if any:
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