# Edition Date: July 2023

# CERTIFICATION REGARDING THE RELEASE OF CONTROLLED TECHNOLOGY OR TECHNICAL DATA TO FOREIGN PERSONS IN THE UNITED STATES

Prior to filing of any immigration petition or issuance of an immigration document, this certification must be completed by the lead investigator/supervisor and the department administrator. This information is necessary to assure compliance with federal regulations. **BY SUBMITTING THIS FORM, THE LEAD INVESTIGATOR / SUPERVISOR AND DEPARTMENT ADMINISTRATOR HEREBY CERTIFY THAT THE INFORMATION CONTAINED HEREIN IS TRUE AND CORRECT, TO THE BEST OF THEIR RESPECTIVE KNOWLEDGE.**

**PLEASE NOTE:**

# The PI/Supervisor should complete this form, not the Applicant;

* **The Hiring/Inviting Department must notify ISSS if the funding source or work assignment of the applicant changes at any time following submission of this form to ISSS.**

|  |  |
| --- | --- |
| Applicant Information | |
| First Name |  |
| Family Name/Surname |  |
| Country of Citizenship |  |
| Country of Permanent Residency |  |
| Position or Appointment Title at TU |  |
| Sponsoring Department |  |
| Lead Investigator / Supervisor | |
| First Name |  |
| Family Name/Surname |  |
| Position or Appointment Title at TU |  |
| Email |  |
| Phone |  |
| Signature |  |
| Date |  |
| Department Administrator | |
| First Name |  |
| Family Name/Surname |  |
| Phone # |  |
| Email |  |
| Signature |  |
| Date |  |

|  |  |
| --- | --- |
| 1. Will the applicant be involved in research?   *(If “Yes,” please continue to Question #2. If “No”, skip to Question #5)* | Yes  No |
| 1. What is/are the source(s) of funds supporting the research?   Temple  US Government  Home Country Government  Non-Governmental Grant  Industry  Other (describe below) | |
| 1. If Applicant is paid from either a US Government or US Non-Governmental Grant, was Applicant listed on the Grant Application? | Yes  No  N/A |
| 1. If applicant is paid from a US grant, was the applicant listed as the PI on the Grant application? | Yes  No  N/A |
| 1. Will the applicant’s job duties involve projects or collaborations that: 2. Restrict participation in the project based on country of origin or citizenship?   Yes  No   1. Allow only US citizens to participate?   Yes  No   1. Grant the sponsor the right to review publications in advance of submission (beyond a brief review of up to 90 days for patent protection and/or inadvertent release of confidential/proprietary information)? **(If “Yes”, provide exact language or copy of provision separately)**   Yes  No   1. Limit publication or dissemination of the research results in any manner? *(****If “Yes”, provide exact language or copy of provision separately)***   Yes  No   1. Provide that any part of the sponsoring, granting, or resulting documents not be disclosed?   Yes  No   1. Limit access to proprietary or export-controlled technology or data –   (i) Provided by a sponsor or research collaborator?  Yes  No  (ii) Previously developed by Temple?  Yes  No  (iii) In Temple’s possession?  Yes  No  (iv) Produced as part of the project or collaboration?  Yes  No  ***If you answered “Yes” to any part of Question 5 above, please continue to Question 6. Otherwise, skip to Question 7.*** | |
| 6. Regarding the Applicant’s Research:  a. Is the information clearly identified as proprietary or export-controlled?  b. Can the information be appropriately protected?  c. Can controlled information be used in isolated tasks so that the applicant can participate in part of the research that is not controlled?  ***If you answered “No” for 6a, 6b or 6c, please contact University Counsel*** | Yes  No  Yes  No  Yes  No |
| 1. Will the employee’s job duties involve projects or collaborations that: 2. Involve research providing access to source code for encrypted software (other than publicly available software distributed at no charge)?   b. Involve research, information or software that could be used for military applications?  c. Involve research, information or software that could be used in development of weapons of mass destruction (nuclear, biological, chemical) or their delivery systems? | Yes  No  Yes  No  Yes  No |
| 1. For research funded solely by Temple, does the university intend to withhold the research results for proprietary reasons and not share broadly in the scientific community? | Yes  No  N/A |
| 1. Will the applicant use any scientific equipment, including highly specialized computing equipment, in the course of performing his/her duties? (if “YES”, please provide and attach list) | Yes  No |
| 1. Regarding any equipment the applicant will be using, will the applicant have the specific information necessary for the operation, installation, maintenance, repair, overhaul AND refurbishing of any item of the equipment? **NOTE- for this question to be checked "yes", it must meet all six elements listed.  This is NOT the normal activity of researchers. They are typically operating, maintaining, and maybe repairing a controlled item. The mere operation of a piece of equipment is not “use” as defined in the Export Administration Regulations.** | Yes  No |
| 1. Will the applicant be exposed to equipment that was specifically designed or developed for military or outer space applications? **If “Yes,” please describe below:** | Yes  No |
| 1. Provide description of the applicant’s duties: | |
| 1. Provide description of research projects in which the applicant will be involved, if any: | |
| 1. Provide list of any scientific equipment, including highly specialized computing equipment, to be used by the applicant in the course of performing her/his duties: | |